



Chapter 18

Use of Receding Horizon Optimal Control to Solve MaxEP-based Biogeochemistry Problems

Joseph J. Vallino, Christopher K. Algar, Nuria Fernández González and Julie A. Huber

Abstract The maximum entropy production (MaxEP) principle has been applied to steady state systems, but biogeochemical problems of interest are typically transient in nature. To apply MaxEP to biogeochemical reaction networks, we propose that living systems maximum entropy production over appropriate time horizons based on strategic information stored in their genomes, which differentiates them from inanimate chemistry, such as fire, that maximizes entropy production instantaneously. We develop a receding horizon optimal control procedure that maximizes internal entropy production over different intervals of time. This procedure involves optimizing the stoichiometry of a reaction network to determine how biological structure is partitioned to reactions over an interval of time. The modeling work is compared to a methanotrophic microcosm experiment that is being conducted to examine how microbial systems integrate entropy production over time when subject to time varying energy input attained by periodically cycling feed-gas composition. The MaxEP-based model agrees well with experimental results, and model analysis shows that increasing the optimization time horizon increases internal entropy production.

Accepted (July 2012) in: *Beyond the Second Law: Entropy Production and Non-Equilibrium Systems*. R. C. Dewar, C. H. Lineweaver, R. K. Niven and K. Regenauer-Lieb, Springer.

18.1 Introduction

In this chapter we examine the application of the maximum entropy production (MaxEP) principle for describing microbial biogeochemistry. Biogeochemistry enlists the fields of biology and geochemistry to understand chemical transformations and element cycling that occur in natural environments. Because the

J. J. Vallino (✉) · C. K. Algar · N. F. González · J. A. Huber
Marine Biological Laboratory, Woods Hole, MA, USA
e-mail: jvallino@mbl.edu

majority of biologically catalyzed reactions that occur on Earth, such as nitrogen fixation, denitrification, metal redox reactions, sulfate reduction, etc., are orchestrated by bacteria and archaea [12], we restrict our current focus to microbially catalyzed reactions. Microbes (including viruses, bacteria and archaea) are the simplest living organisms and are at the interface between chemistry and biology because they catalyzed reactions that also occur abiotically, such as the oxidation of iron (rusting), oxidation of hydrogen sulfide and methane, fixing N_2 into NH_3 and HNO_3 (lightening and combustion). Since we can view bacteria and archaea as simple molecular machines [12], they are most likely amendable to thermodynamic description. They are critical for the support and functioning of all higher life on Earth, so it is particularly important to understand how their presence and growth controls the chemistry at local, regional and global scales. Our expectation is that by employing MaxEP we will be able to develop more robust models that can be used to study how biogeochemistry changes as the environment is altered by natural phenomena and human actions.

Biogeochemistry can be viewed from two extreme perspectives. In the classic perspective, organisms determine the overall biogeochemical processes that occur in an ecosystem. This organismal centric view derives naturally from reductionism, as biogeochemistry is by definition a product of organismal growth. However, the organismal centric view implies that changing species composition will likely produce different biogeochemistry. Furthermore, this approach requires detailed knowledge on organism growth kinetics, predator–prey interactions, as well as on how community composition may change as a result of internal dynamics or external drivers. Except for extremely simple systems, this information is usually lacking. Despite these shortcomings, the majority of biogeochemical models use the organismal perspective as a basis of their design [13].

The second perspective on biogeochemistry takes a systems approach and views ecosystems thermodynamically as open, non-equilibrium systems. In this case, it is free energy potential, resource availability and information that determine ecosystem biogeochemistry. While organisms ultimately carry out the process, thermodynamics determines which metabolic functions will dominate. Organisms are viewed as interchangeable components, similar to microstates that underlie macrostates in equilibrium thermodynamics [44]. It is this thermodynamic perspective that we will employ to describe ecosystem biogeochemistry, where MaxEP will serve as the governing principle. Because we will limit our analysis to microbial processes, we will remove the typical organismal emphasis and instead view a microbial community in functional terms as a collection of catalysts (or molecular machines [12]) that are synthesized and degraded to achieve MaxEP.

In this chapter we develop a MaxEP-based biogeochemical (BGC) model of a distributed metabolic network. Model degrees of freedom are determined by solving a receding horizon optimal control problem that maximizes entropy production over successive intervals of time. Results from the model are compared to data from two methanotrophic microcosm experiments, a control, and a treatment where energy input is cycled over a 20 day period.

77 18.2 MaxEP and Living Systems

78 The MaxEP conjecture [8, 10, 35, 36] states that steady state, non-equilibrium
 79 systems with many degrees of freedom will likely be found in a state that maxi-
 80 mizes internal entropy production. If internal self-organization, such as vortices
 81 and macroscopic structures, facilitates internal entropy production, then those
 82 structures will likely develop [26]. Similar to equilibrium thermodynamics that
 83 requires systems to be found in the state of maximum entropy, MaxEP indicates
 84 that nonequilibrium systems will head towards equilibrium along the fastest
 85 possible pathway. That is, they will dissipate free energy as fast as possible within
 86 the constraints imposed on the system [28, 44]. As discussed in this book and
 87 elsewhere, several phenomena appear consistent with MaxEP, including planetary-
 88 scale heat transport [19, 27], laminar to turbulent flow transition [29], plant
 89 evapotranspiration [46], and many others (see [35] and references therein).

90 18.2.1 Living Systems as Catalysts

91 If MaxEP indicates that systems should race down free energy surfaces towards
 92 equilibrium as fast as possible, then why isn't the universe already at equilibrium?
 93 The answer is because systems often get trapped in metastable states. For instance,
 94 a mixture of methane and air at 20 °C, even within the combustible mixture
 95 envelope (5–15 % CH₄), will remain in this metastable state for a considerable
 96 length of time due to the high activation energy required to overcome the repulsive
 97 force of the electron cloud that prevents spontaneous reaction. Of course, if a spark
 98 is introduced, then the highly exothermic reaction proceeds in a MaxEP manner due
 99 to the increase in temperature. Another means in which the free energy can be
 100 released is by introducing a catalyst. By reducing the activation energy, the
 101 catalyst frees the system from its metastable state, so the reaction can proceed at
 102 room temperature even if the system lies outside the combustion envelope or the
 103 reactants are dissolved in water.

104 While most man-made catalysis are crude and exhibit poor selectivity, enzyme
 105 catalysts synthesized by bacteria, as well as all living organisms, achieve extreme
 106 reductions in activation energies along very selective reaction pathways. It is the
 107 presence of these enzyme catalysts that hastens the dissipation of free energy and
 108 entropy production through the destruction of chemical and electromagnetic
 109 potentials. However, the increase in reaction rates provided by catalysts is
 110 proportional to the amount of catalyst present. To maximize entropy production, it
 111 is necessary for a system to rely on autocatalytic reactions that not only dissipate
 112 chemical potential but also synthesize more catalyst in the process, such as the
 113 methane oxidation reaction given by,



115 where \mathfrak{S} is a catalyst, or *biological structure*, synthesized from available resources,
 116 such as C, N, P, Fe, in the environment, Σ . Because catalyst is produced as a
 117 product of methane oxidation in Eq. (18.1), the reaction will proceed exponentially
 118 provided resources, Σ , needed to build catalyst are not limiting. Of course,
 119 Eq. (18.1) also represents growth of methanotrophs (specialized bacteria that eat
 120 methane), but we are placing emphasis here on catalyst synthesis for the
 121 dissipation of chemical potential, not on the nature of bacterial growth. This
 122 distinction *represents a paradigm shift from 'we eat food' to 'food has produced us*
 123 *to eat it'* [25].
 124

125 In order to calculate the rate of en action, Eq. (18.1), we need to know the
 126 standard molar entropy associated with biological structure, \mathfrak{S} . Unfortunately,
 127 there is considerable confusion associated with entropy calculations involving
 128 living organisms. It is often believed that living organisms represent extremely low
 129 entropy structures. This misconception can be attributed to confusion over the
 130 association between entropy and order. Order, as might be represented by a pat-
 131 tern, does contribute to entropy, but the entropy (or free energy) of the material the
 132 pattern is constructed from must also be accounted for in the entropy calculation.
 133 As [34] has shown, only when the pattern is written at the atomic scale does the
 134 entropy of the pattern become significant compared to the entropy of the material
 135 the pattern is written in.

136 Consider the words written on this page. Because the ink on the page forms a
 137 pattern that contains information, the entropy of the page is lower than a page with
 138 randomized letters [5]; however, the reduction of entropy is trivial compared to the
 139 entropy of the paper the ink is written on. If the paper is burned, it hardly matters
 140 in a thermodynamic context if the text contains the meaning of life or only
 141 jibberish; the difference in the amount of free energy dissipated, or entropy pro-
 142 duced, between the two cases is virtually undetectable, because the pattern on this
 143 page is written at a macroscopic scale. Likewise, entropy associated with infor-
 144 mation contained in DNA/RNA or protein is small compared to the entropy
 145 associated with the nucleic or amino acid polymers the information is written in
 146 [45]. All too often the entropy of the material a pattern is written in is overlooked,
 147 which leads to incorrect assessments, such as the popular statement that a clean
 148 desk has lower entropy than a messy one; both have the same thermodynamic
 149 entropy or free energy. In terms of entropy and free energy calculations, a gram of
 150 freeze-dried yeast or bacteria, which are viable upon rehydration, has the same
 151 molar entropy and free energy of formation as an equivalent weight of a macro-
 152 molecules in the appropriate proportions [3]. To paraphrase [34], the *élan vital*
 153 carries no thermodynamic burden.

154 While the entropy associated with the information content of a cell is trivial
 155 compared to the material of a cell, it is nevertheless of critical importance. It is

156 useful information [1] contained in the genome that allows for the construction of
 157 complex macromolecules that gives rise to the catalytic nature of biological
 158 structure, §. Ultimately, then, it is information that releases systems from meta-
 159 stable states to flow down free energy surfaces and produce entropy. Evolution
 160 works to refine this information and thereby increase the rate of entropy produc-
 161 tion. Information and entropy are intimately coupled [17]. Philosophically, we
 162 postulate free energy spawns the creation of information that hastens free energy's
 163 destruction.

164 18.2.2 MaxEP and Transient Systems

165 An element of time has been implied in the MaxEP description above for con-
 166 structing biological structure to dissipate free energy; however, all MaxEP theories
 167 to date have been applied to steady state systems only, where time is not involved
 168 in the equations. There currently does not exist a MaxEP theory for transient
 169 systems where the state is allowed to vary with time, but it is transient systems we
 170 are often most interested in. The objective in modeling is usually to understand and
 171 predict how a system of interest will respond to perturbations or changes in
 172 external drivers. To build a transient biogeochemistry model based on MaxEP
 173 requires that we speculate as to how time may affect the MaxEP solution.

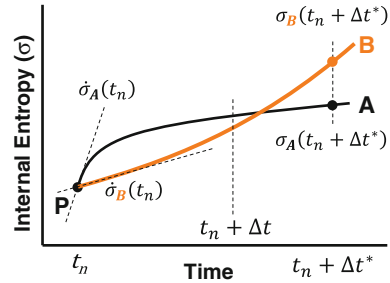
174 For any particular system we can define internal entropy production once the
 175 system boundaries have been defined [32, 35], as well as formulate an entropy
 176 balance equation, such as
 177

$$\frac{dS}{dt} = J_S + \dot{\sigma} \quad (18.2)$$

179 where S is system entropy (kJ K^{-1}), J_S is the entropy flux into the system (from
 180 mass and heat transport) and $\dot{\sigma}$ is the entropy production rate due to irreversible
 181 processes occurring within the system. The second law requires that $\dot{\sigma} \geq 0$ [20].
 182 We also define σ as $\int \dot{\sigma} dt$, which is the amount entropy that derives from internal
 183 irreversible processes over some interval of time. Throughout this manuscript we
 184 will only be concerned with σ or $\dot{\sigma}$, but not S , because MaxEP applies to internal
 185 entropy production only.

186 For a transient system, internal entropy production is a function of time, $\dot{\sigma}(t)$, so
 187 how can MaxEP be defined when $\dot{\sigma}$ varies with time? One special case would be to
 188 maximize $\dot{\sigma}$ at every instance in time, which would be equivalent to taking a steepest
 189 decent pathway along the free energy surface defined by the current state and all
 190 possible pathways leading from that point, similar to water flowing downhill.
 191 However, following a steepest decent pathway at each instance in time may not lead
 192 to the greatest internal entropy production over an interval of time. Consider
 193 Fig. 18.1 for example. Instantaneous internal entropy production at time t_n is greater
 194 along pathway PA than along pathway PB, since $\dot{\sigma}_A(t_n) > \dot{\sigma}_B(t_n)$. But taking the

Fig. 18.1 Increases in internal entropy over two possible pathways starting from point P at time t_n . Here, σ is the part of system entropy S that is from internal irreversible processes and $\dot{\sigma}$ its production rate



195 steepest descent pathway at point P sets the system along a trajectory that ultimately
 196 produces less internal entropy than had the system followed pathway PB , since
 197 $\sigma_B(t_n + \Delta t^*) > \sigma_A(t_n + \Delta t^*)$. If the system had a means to explore all possible future
 198 pathways leading from P over Δt^* time, then the system could increase entropy
 199 produced over the steepest descent pathway, PA , by following pathway PB . That is,
 200 if the system has a way to generate predictions, then forgoing the steepest descent
 201 pathway can lead to greater internal entropy production over time. We postulate that
 202 this is precisely what living systems do.

203 Because living systems can store information in their genome, they can develop
 204 temporal strategies based on passed events that become refined via evolutionary
 205 selection. Genomic information not only allows organisms to access free energy
 206 trapped in metastable states, but also allows them to follow pathways that avoid
 207 the steepest decent route and produce more entropy over time. For instance, some
 208 bacteria form spores or dormant cells that increase their fitness when conditions
 209 become hostile [23, 24]. Likewise, many organisms will increase fat storage in the
 210 fall to survive the winter months. While temporal strategies are well recognized,
 211 they are often not accounted for in models. Instead, most biogeochemistry models
 212 view the system as a type of Markov process where system response only depends
 213 on the current state. We believe what differentiates abiotic systems from biotic
 214 ones, is the ability of the latter to store information that allows them to develop
 215 temporal strategies and out compete abiotic systems over time in internal entropy
 216 production [44]. Maximizing internal entropy produced over intervals of time is
 217 the basis of the model and associated experiment discussed in the next section.

218 18.3 Methods

219 Discussed below are descriptions of a microbial microcosm experiment and an
 220 associated mathematical model that are intended to explore the idea that living
 221 systems develop temporal strategies that increase entropy production when aver-
 222 aged over time. The experimental setup employs methanotrophic microcosms
 223 whose energy input is cycled over time, while the modeling of the microcosms is
 224 based on a distributed metabolic network of biochemical reactions that are

225 controlled to maximize averaged entropy production over intervals of time using a
 226 receding horizon optimal control approach.

227 *18.3.1 Experimental System*

228 The experiment is designed to examine how microbial communities adapt and
 229 evolve to cope with periodic energy inputs using methane plus air as the sole
 230 source of energy. The experimental setup [44] consists of four 18 L microcosms
 231 that are operated in chemostat mode at a dilution rate of 0.1 d^{-1} and are sparged at
 232 a gas flow rate of 20 mL min^{-1} ($0 \text{ }^\circ\text{C}$, 101.3 kPa). Two control microcosms are
 233 sparged continuously with a gas mixture of 4.9 % CH_4 , 19.6 % O_2 , 0.03 % CO_2 ,
 234 balance N_2 , while two other microcosms are cycled between the methane plus air
 235 mixture and just air (20.95 % O_2 , 0.033 % CO_2 , balance N_2) over a 20 d period
 236 (10 days with CH_4 on, 10 days with CH_4 off). All microcosms were inoculated
 237 approximately 4 years ago with whole water samples collected from a coastal
 238 pond and cedar bog (1 L each). A mineral salts medium (10 mM K_2HPO_4 , 50 μM
 239 KNO_3 , 100 μM MgSO_4 , 100 μM CaCl_2 , 100 μM NaCl , plus trace elements
 240 solution) adjusted to pH 6.8 is used as feed.

241 Output gas composition is analyzed on-line every 5 h for CH_4 (NDIR, California
 242 Analytical Instruments), O_2 and CO_2 (laser diode adsorption spectroscopy, Oxigraf)
 243 concentrations, and analyzer drift is compensated for by monitoring input gas
 244 composition. Dissolved oxygen and pH electrodes are measured and recorded every
 245 hour. Gas cycling and all data acquisition are under computer control and posted
 246 on-line (<http://ecosystems.mbl.edu/MEP>). Periodically, liquid samples are with-
 247 drawn for both nutrient analysis (NO_3^- , NH_3 , particulate organic C (POC), N
 248 (PON), dissolved organic C (DOC), and N (DON)) and microbial community
 249 assessment via cell counts and 454-tag pyrosequencing of the V4-V6 hypervariable
 250 regions of the 16S rRNA gene [16].

251 *18.3.2 Metabolic Network Model*

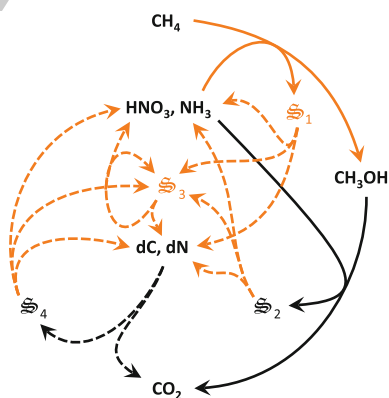
252 The MaxEP-based biogeochemistry model uses a distributed metabolic network
 253 approach to simulate the functional attributes of a microbial community [43]. For
 254 the methanotrophic microcosms, four biological structures are used to capture
 255 methane oxidation to methanol \mathcal{S}_1 , methanol to CO_2 \mathcal{S}_2 , the turnover of biological
 256 structures \mathcal{S}_3 , and the consumption of recalcitrant (i.e., hard to decompose)
 257 organic C (dC) and N (dN), \mathcal{S}_4 (Table 18.1 and Fig. 18.2). This metabolic network
 258 structure differs significantly from our previous approach [44]. Here, we use whole
 259 reactions instead of half reactions to represent metabolism, which has two main
 260 advantages: (1) since half reactions produce (or consume) electrons, we do not
 261 need equations and constraints to insure electron conservation and (2) biological

Table 18.1 Reaction stoichiometries and optimal control variables (OCV: ε_j and $\omega_{i,j}$ in Eq. 18.4) associated with the four biological structures used to represent methanotrophic communities

Reaction Rate	Stoichiometry	OCV
$r_{1,1}$	$\text{CH}_4 + \varepsilon_1 \gamma_1 \text{HNO}_3 + a_{1,1} \text{O}_2 \xrightarrow{\omega_1} \varepsilon_1 \mathfrak{S}_1 + (1 - \varepsilon_1) \text{CH}_3\text{OH} + b_{1,1} \text{H}_2\text{O}$	$\varepsilon_1, \omega_{1,1}$
$r_{2,1}$	$\text{CH}_4 + \varepsilon_1 \gamma_1 \text{NH}_3 + a_{2,1} \text{O}_2 \xrightarrow{\omega_1} \varepsilon_1 \mathfrak{S}_1 + (1 - \varepsilon_1) \text{CH}_3\text{OH} + b_{2,1} \text{H}_2\text{O}$	
$r_{1,2}$	$\text{CH}_3\text{OH} + \varepsilon_2 \gamma_2 \text{HNO}_3 + a_{1,2} \text{O}_2 \xrightarrow{\omega_2} \varepsilon_2 \mathfrak{S}_2 + (1 - \varepsilon_2) \text{H}_2\text{CO}_3 + b_{1,2} \text{H}_2\text{O}$	$\varepsilon_2, \omega_{1,2}$
$r_{2,2}$	$\text{CH}_3\text{OH} + \varepsilon_2 \gamma_2 \text{NH}_3 + a_{2,2} \text{O}_2 \xrightarrow{\omega_2} \varepsilon_2 \mathfrak{S}_2 + (1 - \varepsilon_2) \text{H}_2\text{CO}_3 + b_{2,2} \text{H}_2\text{O}$	
$r_{i,3}$	$\mathfrak{S}_i + a_{i,3} \text{O}_2 \xrightarrow{\omega_3} \varepsilon_3 \mathfrak{S}_3$ $+ (1 - \varepsilon_3) [\gamma_i (\varepsilon_3 \text{NH}_3 + (1 - \varepsilon_3) \text{dN}) + \varepsilon_3 \text{H}_2\text{CO}_3 + (1 - \varepsilon_3) \text{dC}]$ $+ \varepsilon_3 (\gamma_i - \gamma_3) \text{NH}_3 + b_{i,3} \text{H}_2\text{O} \quad \text{for } i = 1, \dots, 4$	ε_3
$r_{1,4}$	$\text{dCN} + a_{1,4} \text{O}_2 \xrightarrow{\omega_4} \varepsilon_4 \mathfrak{S}_4 + (1 - \varepsilon_4) \text{H}_2\text{CO}_3 + b_{1,4} \text{H}_2\text{O} + d_{1,4} \text{NH}_3$	ε_4

Biological structure is unit carbon based and its composition is given by $\text{CH}_{\alpha_i} \text{O}_{\beta_i} \text{N}_{\gamma_i}$. The stoichiometric coefficients, $a_{i,j}$, $b_{i,j}$ and $d_{1,4}$ are determined from O, H and N elemental balances for each reaction as necessary

Fig. 18.2 Distributed reaction network for methanotrophic communities. dC and dN: recalcitrant organic C and N, respectively. Lines of similar color and style represent a single reaction group. H_2CO_3 not shown to improve readability. See Table 18.1 for stoichiometry



262 structure synthesis is directly coupled to its associated redox reaction pair.
 263 Nevertheless, networks based on half reactions are useful for discovering impor-
 264 tant reaction pairs that evade detection, such as anammox [21], because models
 265 based on half reactions build their own redox pair combinations.

266 Reaction stoichiometries are parameterized by two types of optimal control
 267 variables, ε_j and $\omega_{i,j}$, where the former controls the efficiency of biological
 268 structure synthesis, and the latter controls how biological structure is allocated to

269 sub-reactions associated with each biological structure (Table 18.1). For instance,
 270 $\omega_{1,1}$ determines how \mathfrak{S}_1 is partitioned between nitrate uptake ($r_{1,1}$) and ammonia
 271 uptake ($r_{2,1}$). The value of ε_j plays a critical role in the model, because as ε_j
 272 approaches 0 the reaction behaves as pure combustion dissipating substantial
 273 amounts of free energy, while as ε_j approaches 1 biological structure is synthesized
 274 with minimum free energy dissipation and maximum conversion of C substrate
 275 into biomass. As discussed above and elsewhere [45], reaction free energy for
 276 biological structure synthesis as ε_j approaches 1 is still negative or within the
 277 neighborhood of 0, but in order to achieve a growth efficiency of near 100 %,
 278 reactions must proceed reversibly (i.e., infinitely slowly). This thermodynamic
 279 constraint explains why we do not find bacteria opting for an ε_j near 1 strategy.

280 The partitioning of labile (i.e., easily degraded) versus detrital C and N in the
 281 four reactions associated with biological structure decomposition, $r_{i,3}$, is solely
 282 determined by ε_3 . While this is a crude approximation, it has the advantage that no
 283 additional parameters are needed. One of the objectives of the model is to limit the
 284 number of adjustable parameters and place as many degrees of freedom as possible
 285 in the optimal control variables ε_j and $\omega_{i,j}$. The detrital C (dC) and N (dN) pools
 286 are modeled separately, but are treated as a single molecule, dCN, in reaction $r_{1,4}$
 287 with its concentration, c_{dCN} , set to c_{dC} and its N:C ratio given by $\gamma_{dCN} = c_{dN}/c_{dC}$.

288 Total internal entropy produced by the microbial community (kJ K^{-1}), ignoring
 289 small contributions from mixing entropy [45], is readily calculated from the
 290 product of reaction rate ($r_{i,j}$) and the associated reaction free energy ($\Delta_r G_{r_{i,j}}$)
 291 summed over each reaction in the network, as given by,
 292

$$\dot{\sigma}(t) = -\frac{V_L}{T} \sum_{j=1}^{n_S} \sum_{i=1}^{n_{S_j}} r_{i,j}(t) \Delta_r G_{r_{i,j}}(t) \quad (18.3)$$

294 where V_L is the liquid volume of the microcosms (m^3), T is temperature (K), n_S is
 295 the number of biological structures (4 in this case), and n_{S_j} is the number of sub-
 296 reactions associate with \mathfrak{S}_j (Table 18.1). We use [2] approach for calculating
 297 reaction free energies, $\Delta_r G_{r_{i,j}}$, that accounts for species concentrations and activity
 298 coefficients, and [4] value for the free energy of formation of biological structure
 299 (see also [44, 45]).

300 Reaction rates are given by the following modified Monod kinetics expression
 301 [45]
 302

$$r_{i,j} = v_j \varepsilon_j^2 (1 - \varepsilon_j^2) \prod_{k=1}^{n_c} \left(\frac{c_k}{c_k + \kappa_j \varepsilon_j^4} \right)^{\Lambda_{i,j,k}} \omega_{i-1,j} \prod_{l=i}^{n_{S_j}-1} (1 - \omega_{l,j}) f_G(\Delta_r G_{r_{i,j}}) c_{S_j}. \quad (18.4)$$

304 The parameters v_j and κ_j were chosen to capture bacterial growth kinetics
 306 observed in nutrient deplete (i.e., oligotrophic) to nutrient abundant (i.e., eutro-
 307 phic) conditions. That is, v_j and κ_j are independent of community composition.
 308 The exponent $\Lambda_{i,j,k}$ is set to either 0 or 1 depending on reaction stoichiometry
 309 (Table 18.1) for the n_c state concentration variables, c_k , and $\omega_{l,j}$ determines how

\mathbb{S}_j is partitioned to its associated n_{S_j} sub-reactions, where $\omega_{0,j} = 1$ for all reactions. For all model runs, we assume decomposition of biological structure occurs indiscriminately, so that $\omega_{i,3} = c_{S_{i+1}} / \sum_{k=1}^{i+1} c_{S_k}$ for $i = 1, \dots, 4$. To insure no reaction proceeds if its free energy of reaction, $\Delta_r G_{r_{i,j}}$, is greater than zero, the function f_G is set to,

$$f_G(\Delta_r G_{r_{i,j}}) = \begin{cases} 1 - e^{\chi_G \Delta_r G_{r_{i,j}}} & \Delta_r G_{r_{i,j}} \leq 0 \\ 0 & \Delta_r G_{r_{i,j}} > 0 \end{cases} ; \quad (18.5)$$

where χ_G is chosen for numerical integration criteria, because the $(1 - e^2)$ term in Eq. [18.4] imposes an empirical thermodynamic constraint as ε_j approaches 1.

Once again, the motivation for Eq. (18.4) is based on minimizing the number of free parameters. Since v_j and κ_j have predetermined values for all reactions [45], except for reaction $r_{1,4}$ discussed below, reaction rates solely depend on the values of the optimal control variables and the concentration of the state variables.

A process that is difficult to model is biofilm formation in the MCs. After several hundred days of operation, considerable biomass accumulated on the reactor walls, even though the MCs were gently mixed. While we could have developed a sophisticated biofilm sub-model, this would result in numerous poorly defined additional parameters. Instead, we simply introduce one parameter, f_{PL} , to represent the fraction of particulate matter (both living and detrital) that is not subject to chemostat washout because it is associated with the biofilm (see Table A.1).

18.3.3 Optimization Model

To determine how ε_j and $\omega_{i,j}$ must vary over time in order to maximize internal entropy production, we formulate and solve a receding horizon optimal control (RHOC) problem [7, 30]. RHOC is used in many fields. For example, in economics RHOC is used to determine how short-term investments should be allocated to maximize long-term returns, such as in retirement fund management. Because long-term prediction of markets is not perfect, short-term strategies are updated periodically based on current market conditions. We implement a similar approach and maximize internal entropy production over successive intervals of time as given by,

$$\max_{\mathbf{u}(t_{n+1})} \frac{1}{\Delta t^*} \int_{t_n}^{t_n + \Delta t^*} \dot{\sigma}(\tau) e^{-k_w(\tau - t_n)} d\tau \quad \text{where} \quad \mathbf{u} = [\boldsymbol{\varepsilon}^T \boldsymbol{\omega}^T]^T \quad (18.6a)$$

$$\text{subject to :} \quad \frac{d\mathbf{x}(t)}{dt} = \mathbf{f}(\mathbf{x}(t), \mathbf{u}(t)) \quad \text{and} \quad \mathbf{0} < \mathbf{u}(t) \leq \mathbf{1} \quad (18.6b)$$

346 where Δt^* is the long-term optimization interval from the current time, t_n , over
 347 which entropy production, $\dot{\sigma}$, is maximized. A conventional weighting function,
 348 $e^{-k_w(t-t_n)}$, discounts the importance of entropy production as time increases beyond
 349 t_n due to uncertainties in predicting future states. After the value of the optimal
 350 control variables ε_j and $\omega_{i,j}$, are determined over the optimization interval
 351 $[t_n, t_n + \Delta t^*]$, the state equations are updated only to $t_{n+1} = t_n + \Delta t$, where
 352 $\Delta t \leq \Delta t^*$, as illustrated in Fig. 18.1. The updating interval, Δt , is typically less than
 353 Δt^* to minimize discontinuities in state and control variables at the end of an
 354 interval. Average internal entropy production over the update interval, Δt , is given
 355 by,
 356

$$\langle \dot{\sigma}(t_{n+1}) \rangle = \frac{1}{\Delta t} \int_{t_n}^{t_n + \Delta t} \dot{\sigma}(\tau) d\tau. \quad (18.7)$$

358 Total internal entropy produced over k intervals is given by $\sigma(t_n : t_{n+k}) =$
 359 $\Delta t \sum_{i=1}^k \langle \dot{\sigma}(t_{n+i}) \rangle$. Once the state and control variables are updated to $t_{n+1} = t_n + \Delta t$,
 360 Eq. (18.6a) is used to solve the next optimization interval, $t_{n+1} + \Delta t^*$ to extend the
 361 solution to $t_{n+2} = t_{n+1} + \Delta t$; this iteration is repeated until the desired final simu-
 362 lation time is reached.
 363

364 The optimization, Eq. (18.6a), is subject to box constraints on the control
 365 variables between 0 and 1, and by mass balance constraints on the state variables,
 366 $\mathbf{x}(t)$, given by the differential equations defined by $\mathbf{f}(\mathbf{x}(t), \mathbf{u}(t))$ in Eq. (18.6b). The
 367 state variables for the microcosm experiment consist of nutrient concentrations,
 368 $\mathbf{c}(t)$, gas partial pressures, $\mathbf{p}(t)$, and concentration of biological structures, $\mathbf{c}_S(t)$, so
 369 that $\mathbf{x}(t) = [\mathbf{c}^T(t), \mathbf{p}^T(t), \mathbf{c}_S^T(t)]^T$. The mass balance equations are listed in the
 370 Appendix (Table A.1). The differential equations were numerically integrated
 371 using a high precision method [6] and the optimization problem was solved using a
 372 derivative free algorithm (BOBYQA [39]). Control variables are discretized over
 373 the $[t_n, t_n + \Delta t^*]$ interval using n_{knots} grid points and linear interpolation is used to
 374 produce continuous control functions.

375 18.4 Results

376 Time zero of the microcosm experiments corresponds to 00:00 20 Aug 2010, and
 377 on day 210.5 gas cycling of microcosms (MC) 1 and 4 commenced after experi-
 378 mental operating conditions had been finalized, in particular nitrogen-limited
 379 growth was achieved. Numerical simulations using the MaxEP-based BGC model
 380 were initialized on day 100, which provided sufficient time to achieve steady state
 381 conditions prior to gas cycling. Both experimental and modeling results are
 382 compared over days 200–500.

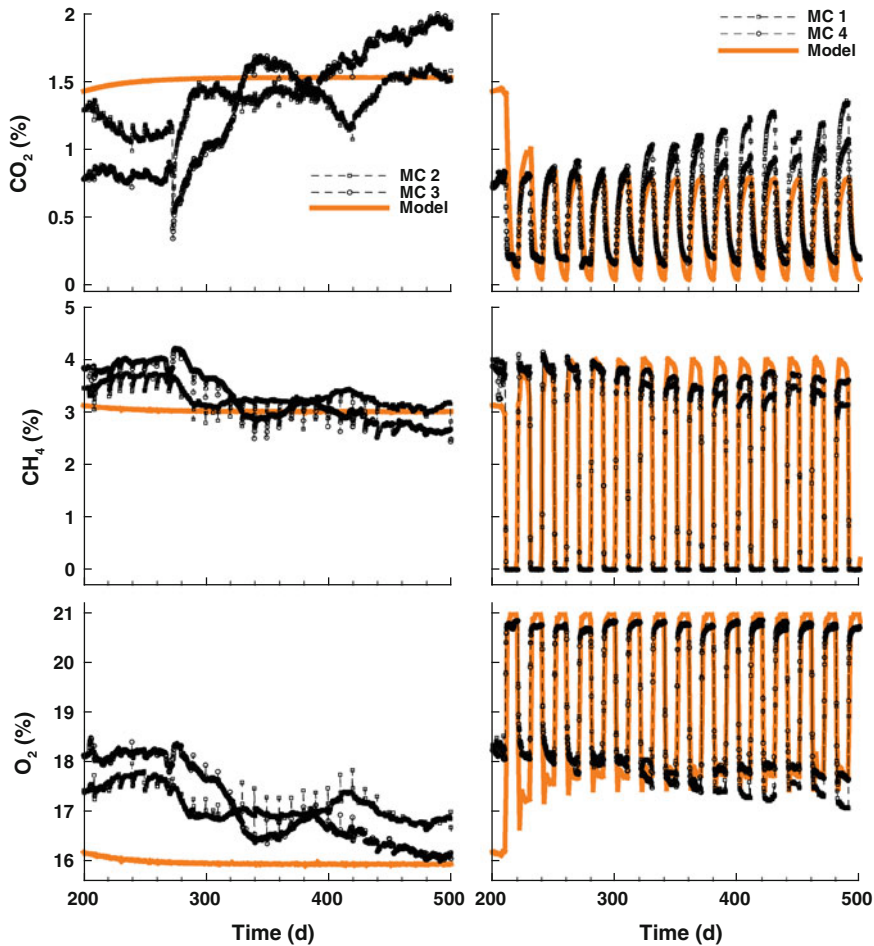


Fig. 18.3 Observed and modeled reactor exit gas concentrations for the controls (MC 2 and 3, *left column*) and cycled (MC 1 and 4, *right column*) microcosms. Modeled predictions are shown as the *orange* (or *grey* in BW) *solid line*, while experimental data are shown as open symbols connected by *dashed lines*. Model results are for $k_w = 0.230 \text{ d}^{-1}$, $\Delta t = 10 \text{ d}$ and $\Delta t^* = 20 \text{ d}$

383 Only two model parameters were qualitatively adjusted to achieve reasonable
 384 agreement between model results and observations for all four MCs (Figs. 18.3
 385 and 18.4). Because detritus is a rather amorphous, non-polymeric material, its
 386 decomposition is difficult and is often the rate limiting step in microbial BGC [14].
 387 Consequently, we reduced v_4 in Eq. (18.4) to 35 d^{-1} from the standard value of
 388 350 d^{-1} [45]. We also tuned the biofilm parameter, f_{PL} , to 0.2. All other parameter
 389 values are well-defined constants, such as MC volume, dilution rate, feed
 390 concentrations, etc. All model degrees of freedom, other than v_4 and f_{PL} , reside in

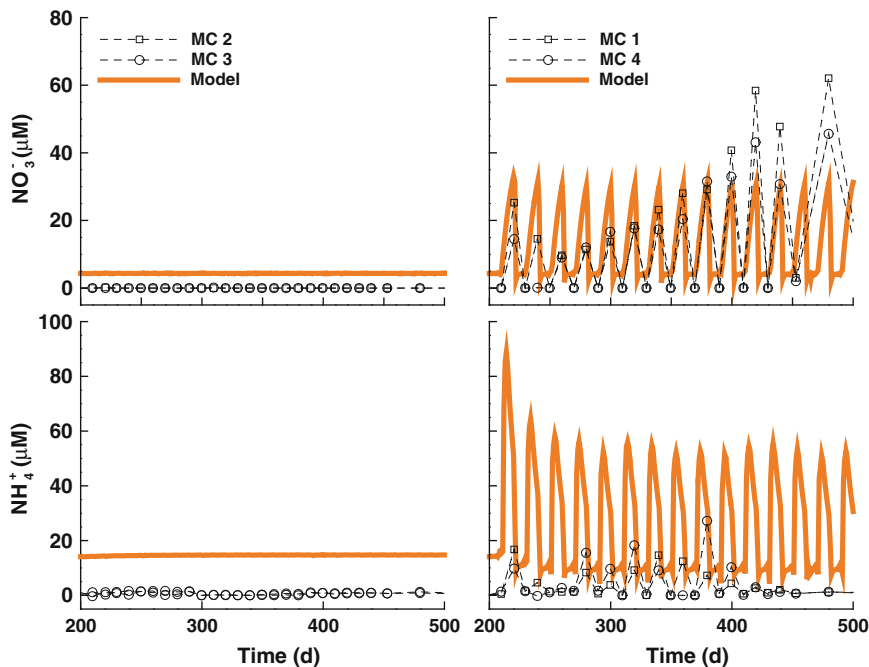


Fig. 18.4 Simulated data [orange (or grey) lines] compared to observations of nitrate and ammonium concentrations for the control MCs (MC 2 and 3, left column) and the methane cycled MCs (MC 1 and 4, right column). Also see caption to Fig. 18.3

Table 18.2 Internal entropy produced over 400 days for the control and gas-cycled simulations for different optimal interval parameters values: k_w , Δt and Δt^*

Δt (d)	Δt^* (d)	k_w (d^{-1})	n_{knots}	$\sigma(100 : 500)$ (kJ K^{-1})	
				Control	Cycled
0.1	0.1	0	1	2.07	1.45
0.1	1	3.00	5	18.56	7.71
1	1	0	1	16.82	6.94
1	5	0.921	5	22.85	9.05
10	20	0.230	15	24.19	10.53
20	40	0.115	20	24.64	14.55
20	50	0.0921	25	24.77	15.15

391 the 6 optimal control variables and the three interval optimization parameters, k_w ,
 392 Δt and Δt^* .

393 To examine how the optimal interval parameters affect the solution and overall
 394 internal entropy production, we conducted several simulations by varying k_w , Δt
 395 and Δt^* for both the control and the gas-cycled simulations (Table 18.2).
 396 In general, these results show that as the optimization interval increases, total

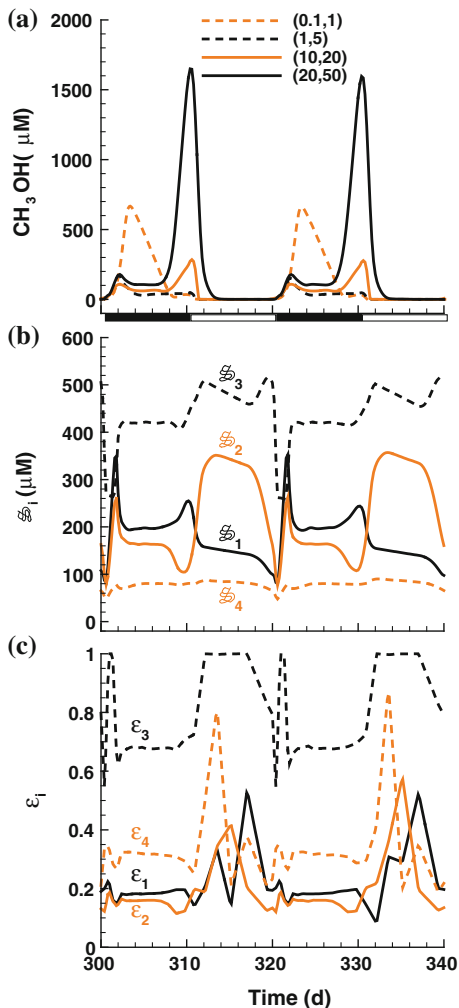
397 internal entropy produced (σ) over the 400 days of simulation increases, but
 398 asymptotes to approximately 25 and 15 kJ K^{-1} for the control and gas-cycled
 399 simulations, respectively. Except for very short intervals, entropy production in the
 400 control simulations is not strongly affected by choices of Δt or Δt^* (Table 18.2).
 401 However, for very short optimization intervals ($\Delta t^* = 0.1$ d), entropy production is
 402 significantly depressed (Table 18.2). A similar phenomenon occurs in the gas-
 403 cycled simulations, but the decrease in total entropy production as Δt^* decreases is
 404 more gradual.

405 As Δt^* becomes small, biological structures are allocated to maximize entropy
 406 production in a manner that resembles abiotic systems, such as fire. In particular,
 407 examination of the control simulations reveals that the system does not sufficiently
 408 allocate resources to biological structure turnover, \mathfrak{S}_3 . The concentration of \mathfrak{S}_3 in
 409 the control simulation with $\Delta t^* = 0.1$ is approximately equal to \mathfrak{S}_1 and \mathfrak{S}_2 , but in
 410 the simulation with larger Δt^* values, \mathfrak{S}_3 concentration is twice that of \mathfrak{S}_1 and \mathfrak{S}_2 .
 411 The optimal controller attains higher concentration of \mathfrak{S}_3 by setting ε_3 to
 412 approximately 0.62, while in the low entropy producing case ε_3 is only set to 0.34.
 413 The higher concentration of \mathfrak{S}_3 allows the system to achieve much higher
 414 remineralization rates, so that reactions $r_{2,1}$ and $r_{2,2}$ can attain much higher rates
 415 due to the increase in NH_3 availability from \mathfrak{S}_i turnover. However, under short
 416 optimization intervals, the system's time horizon is too short to realize a return on
 417 investment in \mathfrak{S}_3 with respect to entropy production or utilization of available
 418 chemical potential. When the time scale is short, the system does not make best use
 419 of available resources.

420 The gas-cycled simulations also generate interesting results when different
 421 ($\Delta t, \Delta t^*$) values are examined. Figure 18.5a shows methanol dynamics over two
 422 gas cycling periods (40 d, beginning on day 300) for four selected simulations in
 423 Table 18.2 based on the ($\Delta t, \Delta t^*$) values. When time scales are short,
 424 ($\Delta t, \Delta t^*$) = (0.1, 1 d) and (1, 5 d), methanol (CH_3OH) accumulates immediately
 425 after methane gas is turned on (at 300.5 and 320.5 d; Fig. 18.5a, dashed lines).
 426 However, when the time scale specified by the optimization parameters approach
 427 the period length of the gas cycling, ($\Delta t, \Delta t^*$) = (10, 20 d) and (20, 50 d),
 428 methanol accumulation occurs immediately before methane is switched off (at
 429 310.5 and 330.5 days; Fig. 18.5a, solid lines). When the optimization time scales
 430 are long, the model develops an anticipatory control strategy, where methanol is
 431 produced as a storage compound that can be utilized during the phase when
 432 methane is absent. By storing some of the methane captured in the first half of the
 433 cycle as methanol, the system is able to oxidize more methane and produce more
 434 internal entropy compared to the simulations using short term optimization
 435 parameters. The strategy only accumulates methanol near the end of the period,
 436 because methanol is also lost due to dilution, which does not contribute to internal
 437 entropy production.

438 We can see how the control strategy achieves methanol accumulation by
 439 examining the concentration of biological structures and growth efficiencies for the
 440 case where ($\Delta t, \Delta t^*$) equals (20, 50 d) over the two gas cycling periods

Fig. 18.5 (a) Methanol accumulation over two cycles for the optimization intervals given in the legend: $(\Delta t, \Delta t^*)$. The bar along the x axis shows when CH_4 gas is on (black) and off (white). Biological structures (b) and growth efficiencies (c) over two cycle periods for $(\Delta t, \Delta t^*) = (20 \text{ d}, 50 \text{ d})$. All data are from the gas-cycled simulations only



441 (Fig. 18.5b, c). Just prior to the loss of methane (310.5 and 330.5 d), there is an
 442 increase in \mathfrak{S}_1 and a decrease in \mathfrak{S}_2 (Fig. 18.5b). Based on the reaction network
 443 (Fig. 18.2, Table 18.1), this allocation of catalyst favors methanol overproduction,
 444 so methanol accumulates rapidly. Immediately following the addition of methane,
 445 there is a rapid rise in \mathfrak{S}_2 concentration and a decrease in \mathfrak{S}_1 , which drives
 446 methanol consumption up. To attain these changes in \mathfrak{S}_1 and \mathfrak{S}_2 abundances, there
 447 are the expected changes in the associated growth efficiencies (Fig. 18.5c), but
 448 there is also a large change in ε_3 . In particular, ε_3 is driven to 1 following the
 449 loss of methane feed, which allows all biological structures to remain at high
 450 concentrations in the absence of methane because $r_{i,3}$ is driven to zero (Eq. 18.4).
 451 Just prior to the introduction of methane, ε_3 is reduced significantly, which causes

452 a large turnover of biological structure (Fig. 18.5b), but biological structure
453 concentrations quickly rebound once methane is again made available. To examine
454 if these changes are occurring in the actual microcosms, we are currently sampling
455 for cell abundances, DNA/RNA, and methanol concentration.

456 18.5 Discussion

457 In this chapter we have shown that a microbial biogeochemistry model based on
458 the MaxEP principle produces results that are comparable to those obtained
459 experimentally from microbial methanotrophic microcosms (Figs. 18.3 and 18.4).
460 Unlike most microbial biogeochemistry models, the MaxEP model contains very
461 few adjustable parameters, because we have been able to place most of the model's
462 degrees of freedom into the optimal control variables, ε_j and $\omega_{i,j}$, whose values are
463 determined by maximizing internal entropy production. By placing emphasis on
464 catalytic activity at the system level, rather than on competition of individuals, the
465 MaxEP approach provides a unique perspective on how ecosystems may function
466 and evolve. Due to the novelty of the MaxEP approach, many of the ideas and
467 conjectures that derive from MaxEP need to be tested, or at least shown to be
468 improvements over canonical approaches. Microbial microcosms provide excel-
469 lent experimental systems for testing MaxEP-based approaches for describing
470 living systems, as microbial systems have fast characteristic times scales, high
471 population densities and high biodiversity, all of which can be readily manipulated
472 and monitored.

473 The MaxEP-BGC model predicts a comprehensive suite of output variables that
474 can be compared to observations, only some of which were presented here. In
475 addition to providing concentration data and reaction efficiencies, the model
476 predicts reaction rates through the metabolic network (Table 18.1), reaction free
477 energies, and how biological structure partitioning among sub-reactions changes
478 over time (i.e., $\omega_{i,j}$). We expect our on-going measurements of community
479 composition from 454-tag pyrosequencing and quantitative PCR analysis of
480 function gene levels and expression will assist in comparing model output to
481 observations [15]. Preliminary molecular results show that very high microbial
482 diversity is maintained in the microcosms (~600 operational taxonomic units);
483 however, community composition of the methanotrophs changes substantially over
484 time (microscopic behavior), but this does not alter methane oxidation rates
485 (macroscopic behavior), a characteristic consistent with MaxEP [9].

486 Perhaps the most intriguing result from our implementation of MaxEP for
487 describing microbial biogeochemistry is the proposed distinction between abiotic
488 and biotic systems based on instantaneous versus averaged entropy production.
489 When entropy production is maximized instantaneously, no biological structure is
490 produced because some of the free energy would simply be converted to another
491 form of chemical potential instead of being destroyed. This problem is solved by

492 maximizing entropy produced over an interval of time, which leads to the
 493 hypothesis. Because biotic systems are able to store information in their genome,
 494 they can implement temporal strategies that can out-compete abiotic processes in
 495 some situations. Because of genomic complexities, we do not know a priori the
 496 nature of the temporal strategies at this time, but this lack of knowledge can
 497 be circumvented by assuming that evolution has produced systems that extract the
 498 greatest possible free energy from a system over some appropriate characteristic
 499 time scale. Our results indicate (Table 18.2) that the longer the time scale, the
 500 more entropy that can be produced, but longer time scales require higher fidelity in
 501 predicting future states. Prediction in this case simply means that some of the
 502 temporal strategies the system possesses will be successful. Mismatches between
 503 prediction and the true state, due to perturbations, noise and uncertainties,
 504 ultimately limit the time scale interval for entropy production.

505 Our receding horizon optimal control implementation of the MaxEP problem
 506 shows that when time scales are short, biological structure should be invested for
 507 immediate entropy production, which leads to methanol production following the
 508 introduction of methane (Fig. 18.5a, dashed lines). This is an R-selection strategy
 509 [38], which is a possible driving mechanism for cross feeding [40], because partial
 510 substrate oxidation can increase growth rate [37]. When time scale is increased,
 511 the system allocates resources to \mathfrak{S}_3 (the equivalent of grazers) as well as the later
 512 production of methanol that acts as a storage compound (Fig. 18.5a, solid lines).
 513 Systems oriented analyses of natural ecosystems indicate that the presence of
 514 grazers increases nutrient recycling and ecosystem productivity [31, 41, 42].
 515 Predators, and trophic structures in general, increase the characteristic time scale
 516 of an ecosystem. It appears reasonable that organisms with long development
 517 times, or life histories, impart the long characteristic time scales observed in
 518 mature ecosystems, such as forests. Under this conjecture, bacterial systems may
 519 be closer to fire than an ecosystem composed of macroscopic organisms that
 520 provide the long characteristic time scale with respect to entropy production.

521 Experimentally, we expected more effective use of CH_4 in the gas-cycled
 522 treatment; that is, we expected entropy production to be similar between the
 523 control and gas-cycled MCs. Interestingly, the MaxEP-BGC model also has
 524 difficulties in producing entropy in the gas-cycled MCs (Table 18.2), but matches
 525 the experimental data well (Figs. 18.3 and 18.4). Because of methanol washout
 526 from the chemostat, the model only uses methanol as a storage compound near the
 527 end of the CH_4 -on cycle, which limits the system's ability to store chemical
 528 potential. Storage of free energy in biological structure is also limited due to N
 529 requirements for \mathfrak{S} . Perhaps the experiment and model are lacking higher trophic
 530 levels (i.e., macrofauna) that would provide a time scale relevant to the 20 day
 531 gas-cycle period. Currently, the model uses cannibalism of \mathfrak{S}_3 as a means of
 532 trophic closure [33], so adding additional trophic levels may be one means
 533 of increasing the characteristic time scale in the model. As for the experiment, we
 534 are currently characterizing the eukaryotic community structure via cell counts.

535 Our MaxEP-BGC model currently focuses on microbes as reaction catalysts that
 536 dissipate chemical potential, but the MaxEP concept can be extended to macro-

537 fauna and-flora as well. Metabolically, macroorganisms are rather prosaic; how-
538 ever, in addition to their longer characteristic time scales, they provide physical
539 structure, increase the surface area of particulate matter via mastication and greatly
540 enhance transport processes that often limit reaction rates [11, 18, 22]. Application
541 of MaxEP to natural ecosystems will require understanding the functional contri-
542 butions of macroorganisms, in particular with respect to transport processes, which
543 is not a typical focus in ecology. More research needs to be done in this area.

544 18.6 Conclusions

545 We have been able to use the MaxEP conjecture to develop a microbial biogeo-
546 chemistry model that reproduces reasonably well experimental data obtained from
547 a methanotrophic microcosm experiment. By assuming that genomic information
548 allows living systems to maximize entropy production over a characteristic time
549 scale, we have been able to formulate the model as a receding horizon optimal
550 control problem. Most of the model's degrees of freedom have been captured by
551 the optimal control variables whose values are determined by maximizing entropy
552 production over successive intervals of time. This approach greatly reduces the
553 number of adjustable parameters whose values are often unknown, poorly con-
554 strained and seldom constant. Our results indicate that temporal strategies that are
555 successful over greater durations of time will result in greater entropy production.
556 From this hypothesis, we have developed a methanotrophic microcosm experiment
557 to study how microbial communities respond, adapt and evolve to time varying
558 inputs of energy. Based on experimental data to date, there appears to be good
559 agreement between the MaxEP-BGC model results and experimental data.

560 All organisms possess genomic and acquired information that dictates survival
561 strategies and life cycles that operate over defined characteristic time scales. These
562 time scales can be as short as minutes or hours (i.e., for some bacteria) to as long
563 as centuries or more (i.e., some tree species). Our approach has illustrated the
564 importance that temporal strategies have on ecosystem dynamics, but our choice of
565 time scale (for both Δt and Δt^*) has been somewhat arbitrary based on our intuitive
566 understanding of bacterial growth and the reduced complexity of our experimental
567 microcosms. Natural ecosystems are comprised of populations of different
568 organisms that operate over a multitude of time scales. However, we hypothesize
569 that organisms with long time scales can access more free energy (and ultimately
570 producing more entropy) than those operating on short time scales provided the
571 system is stable enough for long term predictions. Viewing ecosystems as a
572 collection of free energy dissipating machines adaptively operating over a spec-
573 trum of time scales may help us understand how these systems assemble, operate
574 and respond to disturbances of differing magnitude and frequency. Further
575 research is needed relating the ecological concepts of temporal strategies and
576 succession to quantitative measures and representations of time scales for the
577 dissipation of free energy.

578 **Acknowledgments** This research was supported by NSF grant EF-0928742 (Huber, Fernández
 579 González, and Vallino), NSF grant OCE-1058747 (Algar, Vallino) and NSF grants CBET-
 580 0756562, OCE-1058747 (Vallino). We thank Stefanie Strebler for sample analyses and assistance
 581 in operation of the microcosms.

582 Appendix

583 Tables A.1 and A.2.

Table A.1 Mass balance equations for the rates of change of chemical species concentrations in the microcosm model used for constraints in Eq. (18.6b)*

$$\begin{aligned}
 \dot{c}_{\text{CH}_4}(t) &= -r_{1,1} - r_{2,1} + \left(F_L(c_{\text{CH}_4}^f - c_{\text{CH}_4}) + k_L a(p_{\text{CH}_4}/k_{\text{CH}_4}(T) - c_{\text{CH}_4}) \right) / V_L \\
 \dot{c}_{\text{CH}_3\text{OH}}(t) &= (1 - \varepsilon_1)(r_{1,1} + r_{2,1}) - r_{1,2} - r_{2,2} \\
 &\quad + \left(F_L(c_{\text{CH}_3\text{OH}}^f - c_{\text{CH}_3\text{OH}}) + k_L a(p_{\text{CH}_3\text{OH}}/k_{\text{CH}_3\text{OH}}(T) - c_{\text{CH}_3\text{OH}}) \right) / V_L \\
 \dot{c}_{\text{H}_2\text{CO}_3}(t) &= (1 - \varepsilon_2)(r_{1,2} + r_{2,2}) + \varepsilon_3(1 - \varepsilon_3) \sum_{i=1}^4 r_{i,3} + (1 - \varepsilon_4)r_{1,4} \\
 &\quad + \left(F_L(c_{\text{H}_2\text{CO}_3}^f - c_{\text{H}_2\text{CO}_3}) + k_L a(p_{\text{CO}_2}/k_{\text{H}_2\text{CO}_3}(T) - c_{\text{H}_2\text{CO}_3}) \right) / V_L \\
 \dot{c}_{dC}(t) &= (1 - \varepsilon_3)^2 \sum_{i=1}^4 r_{i,3} - r_{1,4} + F_L(c_{dC}^f - f_{PL}c_{dC}) / V_L \\
 \dot{c}_{\text{HNO}_3}(t) &= -\varepsilon_1\gamma_1 r_{1,1} - \varepsilon_2\gamma_2 r_{1,2} + F_L(c_{\text{HNO}_3}^f - c_{\text{HNO}_3}) / V_L \\
 \dot{c}_{\text{NH}_3}(t) &= -\varepsilon_1\gamma_1 r_{2,1} - \varepsilon_2\gamma_2 r_{2,2} + \varepsilon_3 \sum_{i=1}^4 ((2 - \varepsilon_3)\gamma_i - \gamma_3) r_{i,3} + d_{1,4}r_{1,4} + F_L(c_{\text{NH}_3}^f - c_{\text{NH}_3}) / V_L \\
 \dot{c}_{dN}(t) &= (1 - \varepsilon_3)^2 \sum_{i=1}^4 \gamma_i r_{i,3} - \gamma_{dCN} r_{1,4} + F_L(c_{dN}^f - f_{PL}c_{dN}) / V_L \\
 \dot{c}_{\text{O}_2}(t) &= -\sum_{i=1}^2 \sum_{j=1}^2 a_{ij} r_{ij} - \sum_{i=1}^4 a_{i,3} r_{i,3} - a_{1,4} r_{1,4} + \left(F_L(c_{\text{O}_2}^f - c_{\text{O}_2}) + k_L a(p_{\text{O}_2}/k_{\text{O}_2}(T) - c_{\text{O}_2}) \right) / V_L \\
 \dot{p}_{\text{CH}_4}(t) &= \left(F_G(p_{\text{CH}_4}^f - p_{\text{CH}_4}) + k_L a RT(c_{\text{CH}_4} - p_{\text{CH}_4}/k_{\text{CH}_4}(T)) \right) / V_G \\
 \dot{p}_{\text{CH}_3\text{OH}}(t) &= \left(F_G(p_{\text{CH}_3\text{OH}}^f - p_{\text{CH}_3\text{OH}}) + k_L a RT(c_{\text{CH}_3\text{OH}} - p_{\text{CH}_3\text{OH}}/k_{\text{CH}_3\text{OH}}(T)) \right) / V_G \\
 \dot{p}_{\text{CO}_2}(t) &= \left(F_G(p_{\text{CO}_2}^f - p_{\text{CO}_2}) + k_L a RT(c_{\text{H}_2\text{CO}_3} - p_{\text{CO}_2}/k_{\text{H}_2\text{CO}_3}(T)) \right) / V_G \\
 \dot{p}_{\text{O}_2}(t) &= \left(F_G(p_{\text{O}_2}^f - p_{\text{O}_2}) + k_L a RT(c_{\text{O}_2} - p_{\text{O}_2}/k_{\text{O}_2}(T)) \right) / V_G \\
 \dot{c}_{S_j}(t) &= \varepsilon_j \sum_{i=1}^{n_{S_j}} r_{ij} - r_{j,3} + F_L(c_{S_j}^f - f_{PL}c_{S_j}) / V_L \quad \text{for } j = 1, \dots, 4
 \end{aligned}$$

*The superscript f refers to concentration of variables in the feed stream, F_L and F_G are the liquid and gas volumetric feed rates, respectively, $k_L a$ is the liquid-side mass transfer coefficient, $k_h(T)$ is a Henry's law coefficient for solute h , V_G is the gas headspace volume, and f_{PL} is the fraction of particulate matter loss due to dilution; that is, not associated with the biofilm

Table A.2 Nomenclature

Variable	Definition	Units
$a_{i,j}$	Oxygen stoichiometric coefficient for reaction $r_{i,j}$ (see Table 18.1)	
$b_{i,j}$	Water stoichiometric coefficient for reaction $r_{i,j}$ (see Table 18.1)	
c_i	Concentration of species i (\mathbf{c} in vector form)	mmol m^{-3}
c_i^f	Concentration of species i in microcosm feed	mmol m^{-3}
c_{S_j}	Concentration of biological structure j	mmol m^{-3}
$d_{i,j}$	Ammonia stoichiometric coefficient for reaction $r_{i,j}$ (see Table 18.1)	
dC	Detrital organic carbon	
dN	Detrital organic nitrogen	
f_{PL}	Fraction of particulate matter loss due to dilution	
\mathbf{f}	Vector function of state equations (see Table A1)	
$k_i(T)$	Henry's law coefficient for solute i	$\text{Pa m}^3 \text{mmol}^{-1}$
k_{La}	Air–water gas transfer coefficient, liquid side	$\text{m}^3 \text{d}^{-1}$
k_w	Optimization discounting parameter	d^{-1}
n_c	Number of chemical species	
n_{knots}	Number of grid points for discretizing control variables over an optimization interval (see Table 18.2)	
n_S	Number of biological structures, \mathcal{S}_i	
n_{S_j}	Number of sub-reactions associated with \mathcal{S}_j	
p_i	Partial pressure of gas species i	Pa
p_i^f	Partial pressure of gas species i in feed gas	Pa
$r_{i,j}$	Reaction rate	$\text{mmol m}^{-3} \text{d}^{-1}$
Δt	Optimization update interval	d
Δt^*	Optimization interval	d
t	Time	d
\mathbf{u}	Vector of control variables ($\boldsymbol{\varepsilon}, \boldsymbol{\omega}$)	
\mathbf{x}	Vector of state variables ($\mathbf{c}, \mathbf{p}, \mathbf{c}_S$)	
F_G	Gas flow rate to microcosms	$\text{m}^3 \text{d}^{-1}$
F_L	Liquid flow rate to microcosms	$\text{m}^3 \text{d}^{-1}$
$\Delta_i G_{r_{i,j}}$	Gibbs free energy of reaction for reaction $r_{i,j}$	kJ mmol^{-1}
R	Gas constant (units depend on equation)	
S	System entropy	kJ K^{-1}
\mathcal{S}_j	Biological structure j that catalyzes reaction $r_{i,j}$	
T	Temperature	K
V_G	Gas volume of microcosm	m^{-3}
V_L	Liquid volume of microcosm	m^{-3}
α_i	Hydrogen atoms in unit carbon formula for biological structure i	
β_i	Oxygen atoms in unit carbon formula for biological structure i	
γ_i	Nitrogen atoms in unit carbon formula for biological structure i	
ε_j	Growth efficiency for biological structure j . (Optimal control variable)	
κ_j	Substrate affinity parameter in reaction $r_{i,j}$	mmol m^{-3}
v_j	Maximum specific reaction rate for reaction $r_{i,j}$	d^{-1}
σ	Entropy produced from irreversible processes within system	kJ K^{-1}
$\dot{\sigma}$	Rate of internal entropy production	$\text{kJ K}^{-1} \text{d}^{-1}$
χ_G	Parameter in free energy weighting function, f_G	mmol kJ^{-1}

(continued)

Table A.2 (continued)

Variable	Definition	Units
$\omega_{i,j}$	Partition coef. of biological structure j to sub-reaction $r_{i,j}$. (Optimal control variable)	
$\Lambda_{i,j,k}$	Stoichiometric exponent for reaction $r_{i,j}$ for c_k	
$\langle \rangle$	Expectation operator	

 584 **References**

- 585 1. Adami, C.: Information theory in molecular biology. *Phys. Life Rev.* **1**(1), 3–22 (2004).
 586 doi:[10.1016/j.plev.2004.01.002](https://doi.org/10.1016/j.plev.2004.01.002)
- 587 2. Alberty, R.A.: *Thermodynamics of biochemical reactions*. Wiley, Hoboken (2003)
- 588 3. Battley, E.: Absorbed heat and heat of formation of dried microbial biomass: studies on the
 589 thermodynamics of microbial growth. *J. Therm. Anal. Calorim.* **74**(3), 709–721 (2003).
 590 doi:[10.1023/B:JTAN.0000011003.43875.0d](https://doi.org/10.1023/B:JTAN.0000011003.43875.0d)
- 591 4. Battley, E.H.: The development of direct and indirect methods for the study of the
 592 thermodynamics of microbial growth. *Thermochim. Acta* **309**(1–2), 17–37 (1998)
- 593 5. Berut, A., Arakelyan, A., Petrosyan, A., Ciliberto, S., Dillenschneider, R., Lutz, E.:
 594 Experimental verification of Landauer’s principle linking information and thermodynamics.
 595 *Nature* **483**(7388), 187–189 (2012). doi:[10.1038/nature10872](https://doi.org/10.1038/nature10872)
- 596 6. Brugnano, L., Magherini, C.: The BiM code for the numerical solution of ODEs. *J. Comput.*
 597 *Appl. Math.* **164–165**, 145–158 (2004). doi:[10.1016/j.cam.2003.09.004](https://doi.org/10.1016/j.cam.2003.09.004)
- 598 7. Chen, H., Allgower, F.: A quasi-infinite horizon nonlinear predictive control scheme
 599 with guaranteed stability. *Automatica* **34**(10), 1205–1217 (1998). doi:[10.1016/S0005-1098\(98\)00073-9](https://doi.org/10.1016/S0005-1098(98)00073-9)
- 600 8. Dewar, R.: Information theory explanation of the fluctuation theorem, maximum entropy
 601 production and self-organized criticality in non-equilibrium stationary states. *J. Phys. A:*
 602 *Math. Gen.* **36**, 631–641 (2003)
- 603 9. Dewar, R.: Maximum entropy production as an inference algorithm that translates physical
 604 assumptions into macroscopic predictions: don’t shoot the messenger. *Entropy* **11**(4),
 605 931–944 (2009). doi:[10.3390/e11040931](https://doi.org/10.3390/e11040931)
- 606 10. Dewar, R.C.: Maximum entropy production and the fluctuation theorem. *J. Phys. A: Math.*
 607 *Gen.* **38**(21), L371–L381 (2005). doi:[10.1088/0305-4470/38/21/L01](https://doi.org/10.1088/0305-4470/38/21/L01)
- 608 11. Erwin, D.H.: Macroevolution of ecosystem engineering, niche construction and diversity.
 609 *Trends Ecol. Evol.* **23**(6), 304–310 (2008). doi:[10.1016/j.tree.2008.01.013](https://doi.org/10.1016/j.tree.2008.01.013)
- 610 12. Falkowski, P.G., Fenchel, T., DeLong, E.F.: The microbial engines that drive earth’s
 611 biogeochemical cycles. *Science* **320**(5879), 1034–1039 (2008). doi:[10.1126/science.1153213](https://doi.org/10.1126/science.1153213)
- 612 13. Friedrichs, M.A.M., Dusenberry, J.A., Anderson, L.A., Armstrong, R.A., Chai, F., Christian,
 613 J.R., Doney, S.C., Dunne, J., Fujii, M., Hood, R., McGillicuddy, D.J., Jr., Moore, J.K.,
 614 Schartau, M., Spitz, Y.H., Wiggert, J.D (2007) Assessment of skill and portability in regional
 615 marine biogeochemical models: Role of multiple planktonic groups. *J.Geophys.Res.* **112**(C8),
 616 C08001
- 617 14. Horner, J.D., Gosz, J.R., Cates, R.G.: The role of carbon-based plant secondary metabolites in
 618 decomposition in terrestrial ecosystems. *Am. Nat.* **132**(6), 869–883 (1988)
- 619 15. Huber, J.A., Cantin, H.V., Huse, S.M., Mark Welch, D.B., Sogin, M.L., Butterfield, D.A
 620 (2010) Isolated communities of Epsilonproteobacteria in hydrothermal vent fluids of the
 621 Mariana Arc seamounts. *FEMS Microbiology Ecology* **73**(3), 538–549. doi:[10.1111/j.1574-6941.2010.00910.x](https://doi.org/10.1111/j.1574-6941.2010.00910.x)
- 622
 623

- 624 16. Huber, J.A., Welch, D.B.M., Morrison, H.G., Huse, S.M., Neal, P.R., Butterfield, D.A.,
625 Sogin, M.L.: Microbial population structures in the deep marine biosphere. *Science*
626 **318**(5847), 97–100 (2007). doi:[10.1126/science.1146689](https://doi.org/10.1126/science.1146689)
- 627 17. Jaynes, E.T.: The Gibbs paradox. In: Smith, C.R., Erickson, G.J., Neudorfer, P.O. (eds.)
628 Maximum entropy and Bayesian methods, pp. 1–22. Kluwer Academic Publishers,
629 Dordrecht (1992)
- 630 18. Jones, C.G., Lawton, J.H., Shachak, M.: Organisms as ecosystem engineers. *Oikos* **69**(3),
631 373–386 (1994)
- 632 19. Kleidon, A., Fraedrich, K., Kunz, T., Lunkeit, F.: The atmospheric circulation and states of
633 maximum entropy production. *Geophys. Res. Lett.* **30**(23), 1–4 (2003). doi:[10.1029/
634 2003GL018363](https://doi.org/10.1029/2003GL018363)
- 635 20. Kondepudi, D., Prigogine, I.: Modern thermodynamics: from heat engines to dissipative
636 structures. Wiley, New York (1998)
- 637 21. Kuenen, J.G.: Anammox bacteria: from discovery to application. *Nat. Rev. Micro.* **6**(4),
638 320–326 (2008). doi:[10.1038/nrmicro1857](https://doi.org/10.1038/nrmicro1857)
- 639 22. Lawton, J.H.: What do species do in ecosystems? *Oikos* **71**(3), 367–374 (1994)
- 640 23. Lennon, J.T., Jones, S.E.: Microbial seed banks: the ecological and evolutionary implications
641 of dormancy. *Nat. Rev. Micro.* **9**(2), 119–130 (2011). doi:[10.1038/nrmicro2504](https://doi.org/10.1038/nrmicro2504)
- 642 24. Lewis, K.: Persister cells. *Annu. Rev. Microbiol.* **64**(1), 357–372 (2010). doi:[10.1146/
643 annurev.micro.112408.134306](https://doi.org/10.1146/annurev.micro.112408.134306)
- 644 25. Lineweaver, C.H., Egan, C.A.: Life, gravity and the second law of thermodynamics. *Physics*
645 *of Life Reviews* **5**(4), 225–242 (2008). doi:[10.1016/j.pprev.2008.08.002](https://doi.org/10.1016/j.pprev.2008.08.002)
- 646 26. Lorenz, R.: Computational mathematics: full steam ahead-probably. *Science* **299**(5608),
647 837–838 (2003)
- 648 27. Lorenz, R.D., Lunine, J.I., Withers, P.G.: Titan, mars and earth: entropy production by
649 latitudinal heat transport. *Geophys. Res. Lett.* **28**(3), 415–418 (2001)
- 650 28. Makela, T., Annala, A.: Natural patterns of energy dispersal. *Phys. Life Rev.* **7**(4), 477–498
651 (2010). doi:[10.1016/j.pprev.2010.10.001](https://doi.org/10.1016/j.pprev.2010.10.001)
- 652 29. Martyushev, L.: Some interesting consequences of the maximum entropy production
653 principle. *J. Exp. Theor. Phys.* **104**(4), 651–654 (2007). doi:[10.1134/S1063776107040152](https://doi.org/10.1134/S1063776107040152)
- 654 30. Mayne, D.Q., Rawlings, J.B., Rao, C.V., Sokaert, P.O.M.: Constrained model predictive
655 control: Stability and optimality. *Automatica* **36**(6), 789–814 (2000). doi:[10.1016/S0005-
656 1098\(99\)00214-9](https://doi.org/10.1016/S0005-1098(99)00214-9)
- 657 31. Mazancourt, D.C., Loreau, M.: Grazing optimization, nutrient cycling, and spatial
658 heterogeneity of plant-herbivore interactions: should a palatable plant evolve? *Evolution*
659 **54**(1), 81–92 (2000)
- 660 32. Meysman, F.J.R., Bruers, S.: A thermodynamic perspective on food webs: quantifying
661 entropy production within detrital-based ecosystems. *J. Theor. Biol.* **249**(1), 124–139 (2007).
662 doi:[10.1016/j.jtbi.2007.07.015](https://doi.org/10.1016/j.jtbi.2007.07.015)
- 663 33. Mitra, A.: Are closure terms appropriate or necessary descriptors of zooplankton loss in
664 nutrient-phytoplankton-zooplankton type models? *Ecol. Model.* **220**(5), 611–620 (2009).
665 doi:[10.1016/j.ecolmodel.2008.12.008](https://doi.org/10.1016/j.ecolmodel.2008.12.008)
- 666 34. Morrison, P.: A thermodynamic characterization of self-reproduction. *Rev. Mod. Phys.* **36**(2),
667 517 (1964)
- 668 35. Niven, R.K.: Steady state of a dissipative flow-controlled system and the maximum entropy
669 production principle. *Physical review E (Statistical, Nonlinear, and Soft Matter Physics)*
670 **80**(2), 021113–021115. (2009) doi:[10.1103/PhysRevE.80.021113](https://doi.org/10.1103/PhysRevE.80.021113)
- 671 36. Paltridge, G.W.: Global dynamics and climate-a system of minimum entropy exchange.
672 *Q. J. Roy. Met. Soc.* **104**, 927–945 (1975)
- 673 37. Pfeiffer, T., Bonhoeffer, S.: Evolution of cross-feeding in microbial populations. *Am. Nat.*
674 **163**(6), E126–E135 (2004)
- 675 38. Pianka, E.R.: R-selection and K-selection. *Am. Nat.* **104**(940), 592–597 (1970)
- 676 39. Powell, M.J.D.: The BOBYQA algorithm for bound constrained optimization without
677 derivatives. *Optim. Online* **5**, 1–39 (2010)

- 678 40. Rosenzweig, R.F., Sharp, R.R., Treves, D.S., Adams, J.: Microbial evolution in a simple
679 unstructured environment: genetic differentiation in *escherichia coli*. *Genetics* **137**(4),
680 903–917 (1994)
- 681 41. Schmitz, O.J., Hawlena, D., Trussell, G.C.: Predator control of ecosystem nutrient dynamics.
682 *Ecol. Lett.* **13**(10), 1199–1209 (2010). doi:[10.1111/j.1461-0248.2010.01511.x](https://doi.org/10.1111/j.1461-0248.2010.01511.x)
- 683 42. Urabe, J., Elser, J.J., Kyle, M., Yoshida, T., Sekino, T., Kawabata, Z.: Herbivorous animals
684 can mitigate unfavourable ratios of energy and material supplies by enhancing nutrient
685 recycling. *Ecol. Lett.* **5**(2), 177–185 (2002). doi:[10.1046/j.1461-0248.2002.00303.x](https://doi.org/10.1046/j.1461-0248.2002.00303.x)
- 686 43. Vallino, J.J.: Modeling microbial consortiums as distributed metabolic networks. *Biol. Bull.*
687 **204**(2), 174–179 (2003)
- 688 44. Vallino, J.J.: Ecosystem biogeochemistry considered as a distributed metabolic network
689 ordered by maximum entropy production. *Philos. Trans. Royal Soc. B: Biol. Sci.* **365**(1545),
690 1417–1427 (2010). doi:[10.1098/rstb.2009.0272](https://doi.org/10.1098/rstb.2009.0272)
- 691 45. Vallino, J.J.: Differences and implications in biogeochemistry from maximizing entropy
692 production locally versus globally. *Earth Syst. Dynam.* **2**(1), 69–85 (2011). doi:[10.5194/esd-
693 2-69-2011](https://doi.org/10.5194/esd-2-69-2011)
- 694 46. Wang, J., Bras, R.L.: A model of evapotranspiration based on the theory of maximum entropy
695 production. *Water Resour. Res.* **47**(3 (W03521)), 1–10 (2011) doi:[10.1029/2010WR009392](https://doi.org/10.1029/2010WR009392)

UNCORRECTED PROOF